ABORATION IBAL HEALING TO WELLNESS COURTS:

TRIBAL HEALING TO WELLNESS COURTS: INTERGOVERNMENTAL COLLABORATION



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Tribal Healing to Wellness Courts: Intergovernmental Collaboration

May 2021

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Preface

Sovereignty is the ability to regulate the community within a territory. It is also the responsibility to do so. Inter-sovereign collaboration tempts concern of infringement, resulting in diminished or divested sovereign authority. However, experience with tribal-state Wellness Court collaborations has revealed the opposite. Innovative collaborations between tribal Healing to Wellness Courts and state courts have enhanced each sovereignty's capacity to serve, producing a healing community that is stronger than the sum of its parts. This publication seeks to examine the ways in which sovereigns have dared to cross the border and highlight how those approaches have resulted in enhanced Wellness Courts.

This publication would not have been possible without the generosity of a wonderful network of Healing to Wellness Court practitioners, tribal communities, state partners, and technical assistance providers. The Tribal Law and Policy Institute (TLPI) would like to acknowledge our joy and privilege of working with these trailblazers for the past twenty years. TLPI's experience as a training and technical assistance provider with courts innovating in this area has gifted us with an enormous amount of knowledge and the opportunity to witness tribally-driven Wellness Court innovations and collaborations as they have developed.

Healing to Wellness Courts are the manifestation of a community coalescing to heal. They are a modern take on restorative traditions. It is fitting, that in Wellness Court we strive to listen and care, and in our pursuits our community bonds also heal.

Lauren van Schilfgaarde, Technical Assistance Provider

Every community we work with has been incredibly generous with their time and resources: willing to speak to other tribal practitioners and share lessons learned and documents used to operate their own court. As a technical assistance provider, TLPI shares these stories with the Wellness Court community—linking individual tribal practitioners to their peers working on similar issues in other regions. Despite this, in the tribal-state collaboration context, many fruitful and effective collaborations are not well known or well-documented outside of the jurisdiction served. This publication is an attempt to make the information about the collaborations we've encountered available more broadly.

We thank each tribal practitioner who has taken the time to share or verify the information in this publication. Special thanks to our frequent partner, the National American Indian Court Judge's Association (NAICJA) for its work building and maintaining an important and relevant web resource *Tribal Access to Justice Innovation* (TAJI). TAJI helps tribal justice practitioners learn about emerging and promising justice-related programs in Indian country by putting a

spotlight on practical information detailing how Native nations are addressing common challenges. TAJI has put a spotlight on several Wellness Court collaborations that will be discussed later in this publication and served as an essential reference. We encourage readers to explore the TAJI site by visiting www.TribalJustice.org.

About This Resource

The Healing to Wellness Court model is premised on bucking the siloed status quo in favor of multi-disciplinary collaboration to improve the outcomes of court-involved substance abusers. Collaboration is essential. Intergovernmental collaboration is merely an extension of this premise. This publication is intended to assist Tribal Healing to Wellness Courts that are interested in building intergovernmental collaborations, including tribal-state collaborations. Whether the Wellness Court has been operational for decades or is still in the planning process, collaboration is essential.

Like the Wellness Court model, intergovernmental collaborations have developed organically, through innovation that meets the needs and contexts of the courts and their communities. As a result, there are many different existing collaborations that take many different forms. This resource will frame the subject by providing a brief history of Tribal Healing to Wellness Courts, discuss some common traits found in existing collaborations, and then use those common traits to discuss actual collaborations that are operating in the Tribal Wellness Court context.

For a more comprehensive examination of the Wellness Court model, we highly recommend TLPI's *Tribal Healing to Wellness Courts Publication Series*. Similarly, for a further examination of tribal-state collaborations more generally, we recommend TLPI's *Tribal-State Collaboration Project* and the *Tribal Justice Collaborative Project*. These resources, along with others, are referenced throughout this publication and listed in the appendix. This publication builds upon those resources and seeks to stimulate discussions within Wellness Courts as they determine what kinds of collaborations would best serve their communities.

¹ Tribal Law and Policy Institute, Tribal Healing to Wellness Court Publication Series, https://www.home.tlpi.org/tribal-healing-to-wellness-courts.

² TRIBAL LAW AND POLICY INSTITUTE, TRIBAL-STATE COLLABORATION PUBLICATIONS, https://www.home.tlpi.org/tribal-healing-to-wellness-courts.

³ TRIBAL LAW AND POLICY INSTITUTE, TRIBAL JUSTICE COLLABORATIVE, https://www.home.tlpi.org/tribal-justice-collaborative.

Chapter 1: Tribal Healing to Wellness Courts—Historical Context

The adversarial justice system, like substance use disorders, is a colonial import, imposed on tribes regardless of how it likened to traditional justice systems. In many ways, the story of Tribal Healing to Wellness Courts and the collaborations they imbue are a testament to the resurgence of indigeneity. They are as diverse as the communities they serve. The following section discusses the history of Healing to Wellness Courts to contextualize their role in tribal justice systems and as collaborators.

The Drug Court Movement

The modern Wellness Court is linked to the formation of drug courts in state systems. The United States' "War on Drugs" resulted in a large increase of drug-related cases in state criminal justice systems, increased drug-related convictions, and overcrowded jails and prisons. 4 Those who were imprisoned as a result of this policy were often subject to traumatization by the prison system and, upon release, faced stigma and other barriers to reintegrating with society. Compounding the problem, those who were imprisoned were usually not afforded meaningful treatment for their substance abuse and its underlying causes—the very reason they were incarcerated in the first place. 5 Criminal justice systems quickly became overburdened and, ultimately, it was found that incarceration was not having the desired deterrent or rehabilitative effect policy makers may have hoped. In response, the drug court approach was developed to process substance abuse cases in a way that systematically prioritized treatment—tethering treatment to judicial authority,

The term *Healing to Wellness Court*

Early on, Native nations that were developing their own Wellness Courts preferred to avoid the term "drug court" and searched for a new term that would connect culturally to the tribal community and clearly incorporate alcohol abuse cases. Today, Tribal Healing to Wellness Courts have several names including: Wellness Court, Healing Court, Treatment Court, Substance Abuse Court, Alternative Court, and many Native names that reflect the communities they serve. TLPI prefers the term "Tribal Healing to Wellness Court," a nod to both the healing and wellness aspects of the approach as well as the idea that wellness is on ongoing journey. This publication will use "Healing to Wellness Court" and "Wellness Court" interchangeably.

⁴Joseph Thomas Flies-Away, Jerry Gardner, and Carrie Garrow, <u>Overview of Tribal Healing to Wellness Courts</u>, 1 (Tribal Law and Policy Institute, 2014).

⁵ Ingrid A. Binswanger et al., "<u>Return to drug use and overdose after release from prison: A qualitative study of risk and protective factors</u>," *Addiction Science & Clinical Practice* 7, No. 1, 3 (2012); C. J. Mumola & Jennifer C. Karberg, <u>Drug Use and Dependence, State and Federal Prisoners</u>, 2004 (Department of Justice, Office of Justice Programs, Bureau of Justice Statistics 2006).

The Wellness Court Model

A Wellness Court is a docket of cases for participants diagnosed with a substance use disorder. The "types" of Wellness Courts can vary depending on the target population and/or reasons for court involvement (triggering case). They can range from criminal, to child abuse or neglect, to targeting juveniles or veterans. Multi-disciplinary teams coordinate services. Participants' needs are assessed, and a case plan is developed. The team, along with the participant, meet weekly to ensure participant engagement, and pivot as needs change. Accountability is collective and immediate. The participant progresses through phases, generally taking at least one year to complete.

multi-disciplinary input, and personal accountability. These drug courts were successful, and what began as a grassroots initiative became a nation-wide trend.⁶

Wellness Courts

Word of the drug court movement spread to Indian country, where many tribal communities were confronting intergenerational substance use issues and severe alcoholism. As interest and research grew, tribal advocates explored how the drug court model could have a positive impact within Native nations. They also noted that the model could easily be tailored to reflect traditional tribal justice systems and reinforce tribal values related to restorative justice. The nature of the Wellness Court model reflects many consensus-based, nonadversarial, traditional indigenous disputeresolution systems.⁷ In August 2003, tribal-specific drug court curriculums were drafted and adapted from state and national efforts and were used for the first formal tribal drug court training sessions.8

Tribal Healing to Wellness Courts are not simply tribal courts that hear cases involving alcohol- and drug use-related issues. A Wellness Court is a special court docket-collaborative with the responsibility to hear diverted cases involving individuals who struggle with substance use-related issues. The court partners with all the service providers to create a bundle stronger than the sum of its parts. Participants must complete a program of extensive supervision and treatment. The team must gather, listen to each other, and

determine how best to respond and support the participant in real time. The Wellness Court thus brings the full weight of all interveners.

⁶ Lurigio, Arthur J., <u>The First 20 Years of Drug Treatment Courts: A Brief Description of their History and Impact</u>, 72: 1 FED. PROBATION J. 2008 ("By April 2007, more than 1,000 specialized drug courts were operational in all 50 states as well as the District of Columbia, Guam, and Puerto Rico.").

⁷ Flies-Away et al., Overview of Tribal Healing to Wellness Courts, 1.

⁸ TRIBAL LAW AND POLICY INSTITUTE, <u>TRIBAL HEALING TO WELLNESS COURTS: THE KEY COMPONENTS</u> (U.S. Department of Justice, Bureau of Justice Assistance, April 2003).

In criminal cases, this can include the judge, prosecutor, defense counselor, treatment specialists, probation officers, law enforcement and correctional personnel, educational and vocational experts, community leaders, and traditional healers. In child welfare cases, the team will also include child welfare workers, those who serve families, and others who have expertise in child development. Because team members represent formerly siloed agencies, the team must develop new, and frequently innovative, information-sharing protocols. Their hierarchal chains of command must adapt to accommodate the consensus and community of the team.

The participant is asked to address their struggle with substance use in a non-confrontational, but frequently meeting forum. Participant case plans can include bi-weekly therapy, bi-weekly drug testing, weekly community service, education or vocational training, sobriety meetings, and, critically, weekly court. The structure of the court supports a higher level of accountability for participants by leveraging the coercive power of the judicial system to achieve abstinence and alter their behavior through the combination of judicial supervision, treatment, drug testing, incentives, sanctions, case management, and appropriate cultural components.

Yet, the structure of the court, the representatives on the team, the components of the case plan—all the Wellness Court is designed locally. The resulting design of a Wellness Court program reflects the unique strengths, circumstances, and capacities of each Native nation.⁹

Tribal Healing to Wellness Courts are guided by the *Tribal Ten Key Components*¹⁰—the fundamental essentials of the drug court concept. Fashioned after the *Ten Key Components* initially formatted for state drug courts, ¹¹ the *Tribal Healing to Wellness Court Ten Key Components* were crafted to reflect tribal notions of healing and wellness, particularly the concept of a healing to wellness journey, and the collaborative effort involved with supporting such a journey. ¹² The *Tribal Ten Key Components* are the basic operational characteristics that all Healing to Wellness Courts should share as benchmarks for performance. They are also used by the federal Bureau of Justice Assistance in consideration of drug court grant awards. Additional information and tips for implementing the 10 Key Components can be found in the *Family Treatment Court Best Practice Standards* and the *Tribal 10 Key Components' Suggested Practices with the (National Association of Drug Court Professionals) NADCP's Best Practices* ¹³ as well as in the Appendix.

⁹ Flies-Away et al., *Overview of Tribal Healing to Wellness Courts*, 2–3.

¹⁰ Joseph Thomas Flies-Away, Carrie Garrow, and Pat Sekaquaptewa, <u>Tribal Healing to Wellness Courts: The Key Components</u>, 2nd ed. (Tribal Law and Policy Institute, 2014), [hereinafter *The Key Components*].

¹¹ National Association of Drug Court Professionals Drug Court Standards Committee, <u>Defining Drug Courts: The Key Components</u> (U.S. Department of Justice, Bureau of Justice Assistance, reprinted October 2004).

¹² Flies-Away et al., *The Key Components*.

¹³ Hon. Carrie Garrow, Tribal 10 Key Components' Suggested Practices with NADCP's Best Practices.

Collaboration Benefits in Wellness Court

- Delivery of culturally appropriate services
- Provision of geographically relevant services
- Enhanced supervision
- Coordination of multiple case plans
- Leveraged legal incentives
- Maximization of shared resources
- Reduction of administrative costs
- Increased cultural competency
- Better ability to stay ahead of issues
- Reduced litigation costs
- Increased funding opportunities
- Coordinated jurisdictional authority
- Development of positive relationships that can benefit other programs
- Increased assertions of sovereignty

Wellness Courts as Opportunities for Tribal-State Collaboration

Wellness Courts are collaborative by design. The model is an intentional disruption of the siloed, adversarial approach, in which services are offered only after a protracted battle and providers rarely interact. To better serve participants, all the agencies that interact with the participant, including the court, supervision, treatment, and other service providers come together for weekly meetings to update each other. This multidisciplinary and multi-departmental effort is dynamic, outside the typical procedures, and requiring participation and cohesion among staff from different agencies, with different job responsibilities and different training backgrounds.

In flipping the focus from the case to the participant, the Wellness Court must ask whether the participant's needs truly end at the jurisdictional border. Many communities find their participants not only have needs but also have prior and even simultaneous cases in neighboring communities. Many courts find their jurisdictional limitations impede their ability to effectively serve their participants.

Wellness Courts, with their experience in building collaborations, have the skills needed to develop strong partnerships with state and local entities. Conversely, states and local entities such as counties see Wellness Courts reducing social and economic costs of substance abuse that can ripple throughout an entire region.

Yet, collaborations between tribes and states are historically limited. The U.S. Supreme Court noted in 1903 that tribes

"own no allegiance to the states, and receive from them no protection. Because of the local ill feeling, the people of the states where they are found are often their deadliest enemies." For many, the barriers impeding collaboration have not eased in the subsequent decades. The systems—framed in imperial sovereign versus sovereign jurisdictional battlegrounds, clouded with heavy historical traumas—are poor settings for alliances. There are legitimate legal, political, and social reasons for refraining from inter-jurisdictional collaborations.

But in the Wellness Court context, we have found reasons to engage. The participant continues to benefit from the breakdown of silos, including those between sovereigns. And in the midst of coming together, courts have embarked on healing for themselves, their systems, and their communities. The Wellness Court, a tool for participants suffering internally, brings the community together to heal the person. In doing so, it happens that the coming together is also bringing external healing. In a 2012 working group report on Tribal-State court collaboration, attendees specifically identified Wellness Courts as a ripe collaborative forum, in which "[t]hey appear to be created locally to specifically handle the issues of the tribe and county involved." ¹⁵

The following chapter discusses ways to frame discussions about collaborations generally to set the stage for discussions about the specific methods of collaboration.

¹⁴ Lone Wolf v. Hitchcock, 187 U.S. 553, 567 (1903).

¹⁵ Maureen White Eagle and Heather Valdez Singleton, <u>Tribal-State Court Collaboration Working Group Report</u>, 12 (Tribal Law and Policy Institute, April 2013).

Chapter 2: Building a Collaboration

Wellness Court collaborations are only one type of governmental collaboration. States, local jurisdictions, tribes, and agencies collaborate in many areas, from natural resource management to healthcare. Inter-governmental collaborations have produced numerous benefits including better services, increased amount and range of services, increased insight, and regional solutions to problems that do not recognize jurisdictional boundaries. In TLPI's 2019 publication *Crossing the Bridge: Tribal-State-Local Collaboration*, Judge William Thorne and Suzanne Garcia reflected on the process of how governments can build successful collaborations. They identified some general best practices:

- Set initial goals
- Create your team
- Set realistic timelines and expectations
- Define collaboration
- Assess the groups' readiness to collaborate
- Establish communication and internal decisionmaking ground rules
- Establish common values
- Know the history and the context

A collaboration's initial goals should be rooted in coming together, rather than solving the largest, most systemic challenges (that's for later). For some Indigenous communities, the initial goal is simply to share and understand each other's perspective. To foster this, collaborations must seek a conciliatory and welcoming atmosphere. An atmosphere of "yes, and" instead of "no, but." Some collaborations have proceeded too quickly, charging into implementation before the collaborators had a chance to coalesce through consensus.

¹⁶ William Thorne and Suzanne Garcia, <u>Crossing the Bridge: Tribal-State-Local Collaboration</u>, 2 (Tribal Law and Policy Institute, February 2019).

¹⁷ Id.

¹⁸ *Id.,* 9.

¹⁹ Id.

Teams must be willing to engage in a respectful exchange of ideas, a commitment to learning about each other, and a willingness to jointly own a challenge and build a solution together.²⁰ Membership must therefore be selective. Not every person is ready to participate on a team. There should be a balance of power and representation; diverse perspectives; and demonstrated interest, expertise, and experience.21

With open minds, we can learn much from each other. The wisdom of collaboration becomes apparent as the common ground is uncovered and explored.

Hon. Michael Petoskey

While team members should not be selected simply based on their position within a particular department, team members should have the ability to commit themselves and their departments to the collaboration—a tricky balance.

The team should take time to learn about each other, their families, and the community they represent. Through regular meetings and learning about each other's practices, collaborative partners have built trust and developed professional relationships—rippling benefits beyond the collaborative project.²² Especially when working with tribes, working relationships must be based on an understanding of the history, culture, and present concerns of the tribe and their justice system.²³ Building trust requires a willingness to dig in and listen. Perceived disinterest, ignorance, and bias have thwarted would-be collaborations. So too has comparing or categorizing systems as better or superior to others. "People don't have to like each other to work together, but they do need to know each other and have a level of trust."24

The team should build upon small successes. Small "wins" build relationships of trust that allow for ongoing work, as well as permitting an opportunity to circle back.²⁵ Collaborations should be given a space in which to succeed. This means working toward realistic goals, parsed out into bite-sized phases with enforced timelines and feasible assignments. It also means that the process of coming together is ongoing. Broad-based participation exists at both the first meeting and the thirtieth. Collaborations with longevity utilize personal relationships, but solidify their impact through communication plans, regular meetings, mutual commitments, and ongoing education.

²⁰ Jennifer Walter and Heather Valdez Freedman, <u>Emerging Strategies in Tribal-State Collaboration: Barriers and Solutions to</u> Enforcing Tribal Protection Orders: December 6, 2017 Meeting Report, 2 (Tribal Law and Policy Institute, February 2019).

²¹ Thorne and Garcia, Crossing the Bridge: Tribal-State-Local Collaboration, 13 and Appendix C.

²² Walter and Valdez Freedman, *Emerging Strategies*, 8.

²³ Id., 12.

²⁴ White Eagle and Valdez Singleton, <u>Tribal-State Court Collaboration Working Group Report</u>, 9.

²⁵ Thorne and Garcia, <u>Crossing the Bridge: Tribal-State-Local Collaboration</u>, 17.

"[T]his commitment to solve problems together is what drives them to be persistent and creative in their efforts to reach out across jurisdictions and educate one another and their partners."

Walter and Freedman at 12.

Intra-governmental and Inter-governmental Collaborations

The Healing to Wellness Court model generally consists of *intra*-governmental collaboration. *Intra*-governmental collaborations cross different agencies of one government. For example, the court, the police department, and the department of social services attending the same staffing for a Wellness Court participant is intra-governmental collaboration. *Inter*-governmental collaborations cross multiple governments. For example, the county district attorney's office contacting the adjacent Native nation's Wellness Court when a tribal citizen under the age of 18 has been arrested or charged with a crime is inter-jurisdictional collaboration. Intergovernmental collaborations tend to occur between physically adjacent governments.

In many cases, developing an inter-governmental collaboration will be more complex than developing an intra-governmental collaboration. Creating cohesion and developing trusting relationships within one government can be a heavy lift. Creating those trusting relationships between two separate governments in which they both may be facing funding shortages, staff turnover, and bureaucracies can double the work. Separate sovereigns must also navigate potentially different goals, different lines of supervision, different historical contexts, and no mandate for good-faith cooperation. While noting the critical importance (and often daunting task) of intra-governmental collaborations, this publication will focus largely on intergovernmental collaboration activities.

Informal and Formal Agreements

Collaborations can be categorized as informal or formal. Many collaborations require minimal cooperation and the partners may agree there is no need to document their process. In other circumstances, the partners memorialize their commitment and process in writing. While informal agreements tend to develop organically, formal agreements can originate either organically or with the original intent that the collaboration will be reinforced in writing. Each type of collaboration has its benefits and challenges.

Informal Agreements

Informal agreements can take many shapes but are generally a verbal agreement based on personal relationships. Here, the term "informal" simply means an unwritten arrangement or understanding based upon the trust of the parties. An informal agreement can be mutually beneficial—but does not have to be. Typically, simple, informal collaborations are most successful when the incentives to cooperate are high and the cost of collaborating is low. Minimal, informal collaborations are often a vital first step toward building positive relationships that can lead to future collaborations.

The benefits of an informal agreement:

- Can include plasticity: the collaboration can quickly shift and adapt to new contexts;
- Needs quick and responsive implementation;
- Requires fewer resources to develop and maintain;
- May not require explicit legislative, executive, or even agency approval; and
- Can be a steppingstone to stronger relationships and further collaborations.

Some disadvantages:

- Include no or few enforcement mechanisms;
- Are often personal-relationship dependent and are therefore vulnerable to staff turnover:
- Are difficult to apply to complex issues with multiple stakeholders;
- Are difficult to bring to scale, that is serve many participants or offer multiple services;
- Are ripe for a perception of unfairness; and
- Limit the role of other team members, and thereby their buy-in and ability to contribute or innovate.

Informal agreements have spawned the sharing of information, joint trainings, and even whole Wellness Courts.

Formal Agreements

Formal agreements can also take many shapes but are generally a written, institutional agreement intended to withstand changes in staff and elected leadership. These agreements can be legally binding (i.e., they have an enforcement mechanism). Normally, with formal agreements, each party to the agreement is gaining a benefit. In the Wellness Court context, formal inter-governmental collaborations can ensure smooth operations in complex cases and projects with long durations.

The benefits of a formal agreement:

- Clearly defined collaboration tasks and roles of each collaborator;
- Increased accountability, both within a government and between governments;
- Survival of staff turnover;
- Added participant, department, and community assurance;
- Increased perception of fairness;
- The full resources of each partner are leveraged;
- Increased likelihood of buy-in from hesitant agencies; and
- A model for other agencies and governments.

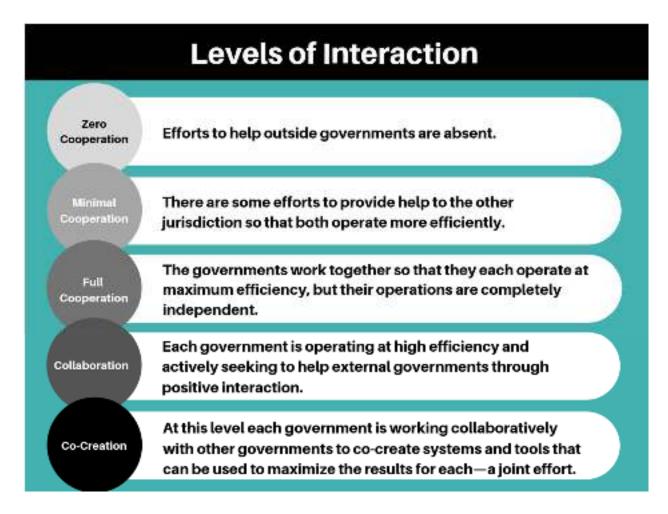
Some disadvantages:

- Slow and long implementation process, both to get partners to consensus and to commit a complex arrangement to writing;
- Increased rigidness: difficult and time consuming to modify the agreement;
- Concerns of liability must be overcome;
- Lack of clear funding stream; and
- A thwarted attempt to formalize an agreement can sabotage an otherwise successful informal agreement.

Levels of Interaction

Another way of thinking about collaborations is to consider the nature of the interaction needed to meet the goals of the partnership. First, formalized and fully integrated collaborations can be effective, but are not necessarily superior to informal, narrow-scope partnerships. Both can be roads to success. Collaborators must consider the dynamics of their tribal/state relations and their goals, common and otherwise. Second, this work appears to assume that a more integrated level of collaboration is necessarily better than a less integrated level of collaboration. Third, and significantly, this work does not consider the role and importance of tribal self-determination: that it is for each tribe to decide what level of interaction is in the best interests of their community. Thorne and Garcia in *Crossing the Bridge*²⁶ propose the following model to conceive of levels of interaction:

²⁶ Thorne and Garcia, <u>Crossing the Bridge: Tribal-State-Local Collaboration</u>, 15.



These "levels" are fluid. In situations in which there is minimal cooperation the jurisdictions or agencies are not necessarily at cross-purposes. There are some efforts to work together, just not on a regular basis. When a partner needs something, like information about a participant, they call the other jurisdiction. But there is no written agreement or regular meeting. When there is full cooperation the two jurisdictions work together regularly on an issue with a set process—such as a calendared day on which they share information without being asked. When agencies or jurisdictions are collaborating, they might meet to think about how they can accomplish mutual goals, but each continues to use their own process. And finally, when jurisdictions or agencies are co-creating, they find ways to merge their processes, so the method used to accomplish a task, and perhaps the paperwork used to memorialize that process, has been developed together.

Keep in mind that no one level of collaboration is preferable to another. A Tribal Healing to Wellness court team may decide that only minimal cooperation with a county or state partner is warranted given their participants' needs, the current relationship with that partner, and the partner's ability to provide services. For example, the county's behavioral health may have no expertise or interest in delivering culturally grounded services and, therefore, minimal cooperation is in the best interests of the Native community. In the alternative, the same court team may have a long-standing, trusting relationship with a different county program and may

want a relationship with that agency that involves co-creation of programs and integrated service delivery. "Successful" collaboration is defined by individual communities and the optimum level of interaction will always depend on their goals and the context in which the collaboration takes place.

Co-Training

One type of oft-overlooked collaboration is co-training. Wellness Courts are unique in their blending of legal, behavioral health, and social service expertise to create a program model that tethers a court's authority to prosecute crime to the latest substance abuse treatment modalities. This hybrid model is rife with learning curves. A court and legal staff that is accustomed to practicing retributive and adversarial criminal court will need to learn the science of addiction and restorative justice models. Likewise, counselors and medical professionals must adapt to a court model and learn to balance its sanction authority with solid treatment principles. As such, treatment courts are rapidly evolving and the evidence base, particularly for Healing to Wellness Courts, can only expand. What constituted a best practice a decade ago may no longer be supported by the latest research. Whether the court is in the planning stages or has been operational for decades, continuing training is essential. Fortunately, co-training and peer-to-peer learning is widely practiced among Wellness Court professionals.

With minimal collaboration required, Wellness Courts have many collaboration possibilities when it comes to training.

- 1. A tribe can learn and benefit from another tribe's expertise.
- 2. A tribe can learn from their county or state counterparts (or vice versa).
- 3. A tribe can work with their county, state, and/or tribal counterparts to conduct a mutually beneficial training event.

Regardless of approach, co-trainings are usually mutually beneficial and a great opportunity to share resources or address an issue impacting the collaborating jurisdictions.

Chapter 3: Wellness Court Collaboration Profiles

The following section will examine different inter-governmental collaborations using the characteristics of informal/formal and the *Five Levels of Interaction* to frame the conversation. By using these characteristics, this resource attempts to emphasize that collaboration is a fluid spectrum of informal and formal agreements and may include both "zero cooperation" and "co-creation" collaborations within any one Wellness Court. For example, a Wellness Court may have a cooperative relationship with state law enforcement but may not be cooperating with state child welfare. This chapter will not examine examples of "zero cooperation." For more information on any collaboration highlighted in this section, or to request contact information for a Wellness Court, please contact the TLPI at wellness@TLPI.org or visit www.WellnessCourts.org.

Minimal Cooperation

Levels of Interaction

WELLNESS COURT COLLABORATION

Minimal Cooperation

There are some efforts to provide help to the other jurisdiction so that both operate more efficiently.

Makah Nation and Port Angeles Court Observations

The Port Angeles drug court has a high caseload of nearly 100 participants and the judge has extended a standing invitation to the Makah Nation of Washington's Healing Court to observe their staffings and hearings. After the court observations, the Makah Healing Court team can meet with the judge and case workers to debrief and ask questions. The conversations have led to some changes to the Makah Healing Court and has been a valuable partnership. Makah Nation has used these staffing and drug court observation sessions as a way of gaining exposure to new ideas and to build relationships with Port Angeles court staff to strengthen their informal and minimal collaboration in cases involving supervision of probation cases and case transfers. The Makah Nation's Healing Court informal collaborations with the local country drug court in most instances requires no cooperation on cases and requires minimal cooperation in others.

Website: makah.com

Pueblo of Laguna and Tulalip Tribes Hosts Tribal Wellness Court Teams

The Pueblo of Laguna of New Mexico's Community Wellness Court began in 2005. The Tulalip Tribes Healing to Wellness Court (in Washington) began in 2016. Given their longevity and experience, the courts have become valuable peer-to-peer learning resources. As part of the National Drug Court Institute's Mentor Court Program, these courts have worked with new and developing Wellness Courts across Indian country.²⁷ In addition to working as a mentor court, both the Pueblo of Laguna and the Tulalip Tribes have an informal open-door policy in which any Healing to Wellness Court can request to observe staffing and hearings to learn from the tribes' experience and dialogue with their Wellness Court team. The tribes collaborate with

²⁷ National Drug Court Institute, Mentor Court Program.

other Wellness Courts informally to provide mentorship, but requires no cooperation on court cases.

Website: https://www.lagunapueblo-nsn.gov/pol-judicial-services.aspx Website: tulaliptribalcourt-nsn.gov/ProgramsAndServices/WellnessCourt

Forest County Potawatomi Community Wellness Court and the Paiute Indian Tribe of Utah Partnerships to Host Naloxone Trainings

The Forest County Potawatomi Community of Wisconsin's Wellness Court and the AIDS Resource Center of Wisconsin work together to conduct free Naloxone trainings. The training events are organized by the Forest County Potawatomi Wellness Court Coordinator. Trainings are provided to Forest County Potawatomi employees and a separate set of trainings are offered both to interested tribal members on and off reservation land and to non-members in the neighboring county. ²⁸

Similarly, the State of Utah provides free Naloxone training programs to individuals and organizations to address the opioid crisis. In March 2018, the Paiute Indian Tribe of Utah approved Naloxone trainings for their community. The Tribal Behavioral Services Department conducts a truncated version of the Utah state training and provides trainees with Naloxone kits from the Utah Naloxone Program. This collaboration has been mutually beneficial: the Paiute Indian Tribe benefits from the state-funded Naloxone kits and gets to provide valuable training to the community. The State of Utah conserves staff time and resources and can reach more individual trainees.

Website: www.fcpotawatomi.com Website: www.utahpaiutes.org

Multi-Tribal Michigan Tribal Healing to Wellness Court Training

Northern Michigan—area tribes collaborated with TLPI to provide a free, one-day Wellness Court and Treatment Court training available to all area tribes, which included the Bay Mills Indian Community, the Grand Traverse Band of Ottawa and Chippewa Indians, the Keweenaw Bay Indian Community, the Lac Vieux Desert Band of Lake Superior Chippewa Indians, the Little Traverse Bay Bands of Odawa Indians, the Saginaw Chippewa Indian Tribe, and the Sault Ste. Marie Tribe of Chippewa Indians. The training covered medication-assisted treatment, telehealth, a judge's and coordinator's panel, substance-exposed newborns and maternal health, and engaging child welfare. The Saginaw Chippewa Indian Tribe donated a large training forum and extended an invitation to others. This joint training is an example of a way to share resources and build relationships within the tribal and non-tribal community.

²⁸ Val Niehaus, "<u>Free Naloxone Training Held,</u>" Potawatomi Traveling Times, Vol. 23, No. 7 (October 1, 2017).

For the Saginaw Chippewa Indian Tribe, this joint training is part of an ongoing education outreach effort. They work with the local chapter of Families Against Narcotics (FAN); have sponsored two tribal, state, and federal opioid summits;²⁹ have hosted a judges' summit, inviting all neighboring county judges to the tribe for lunch, a cultural experience, court tour, and presentation of available tribal services; and serve on a substance abuse committee with six counties to better address opioid misuse in the region.³⁰

Website: wellnesscourts.org/events/?a=668

Reflection on Minimal Cooperation

Jurisdictions practicing minimal cooperation are putting forth effort to provide help to the other jurisdiction so that both operate more efficiently. These collaborations generally entail a nominal sharing of information in exchange for significant gains in trust and camaraderie. The Makah Nation, the Pueblo of Laguna, and the Tulalip Tribes each participate in court observations, providing opportunities for current and future practitioners to see a court in action, followed by an intimate dialogue about how the court functions and what best practices emerge. The Pueblo of Laguna and the Tulalip Tribes were each designated by the National Drug Court Institute as a Mentor Court, signifying external endorsement of their practices and thereby drawing visitors from across the country. However, court observations need not be exclusive to Mentor Courts. In fact, as the Makah Nation exemplifies, by visiting their Port Angeles Court neighbor they can share both ideas and resources. Similarly, Forest County Potawatomi, the Paiute Indian Tribe, and the Michigan-area tribes each pooled their training resources with neighbors—maximizing efficiency and bringing the tribal and non-tribal community together for a common goal. By thinking regionally, the communities balanced the need to train on national best practices coupled tailored regional topics of concern, all with the monumental benefit of networking with neighbor practitioners.

²⁹ See e.g., Michigan Statewide Tribal Opioid Summit, June 12–13, 2019.

³⁰ For an excellent resource, *see* Hon. Patrick M. Shannon, "<u>A Tribal Court's Response to the Prescription Drug and Opioid Crisis</u>," Michigan Bar J. 35 (August 2019).

Full Cooperation

Levels of Interaction

WELLNESS COURT COLLABORATION

Full Cooperation The governments work together so that they each operate at maximum efficiency, but their operations are completely independent.

Yurok Tribe, Humboldt County, and Del Norte County Supervision MOUs

In 2012, the Yurok Tribe signed a Memoranda of Understanding (MOU) with the neighboring counties of Humboldt and Del Norte in California that allowed for the transfer of cases to the tribal court. Under this agreement, cases involving Yurok citizens transfer from the county to tribal court for supervision and linkage to services.³¹ The agreement applies to both adult nonviolent criminal and juvenile delinquency cases.

The MOU with Humboldt County allows for discretion by the county court. Transfer to tribal court is optional, not mandatory. Humboldt County retains jurisdiction and defendants may be subject to county ankle monitors even when the case is transferred. The Yurok Tribal court, however, takes the lead on the probation and supervision and keeps the county apprised of the defendant's progress with their case plan and any probation violations. For many defendants, this makes both logistical and cultural sense, as they reside within the tribal community and travel to the county courthouse can be burdensome.

As with the MOU with Humboldt County, under the MOU with Del Norte County the Yurok Tribe shares concurrent jurisdiction over juvenile cases. Adult cases, however, are handled differently. Under this MOU, Del Norte's probation, district attorney, and police departments have agreed to notify the Yurok Tribal Court when they have a formal probation, arrest/citation interaction with a Yurok citizen so that citizens might be diverted to the Tribal Court rather than having the case heard in the Del Norte County Court. Under the MOU, Del Norte County has the option of acknowledging concurrent jurisdiction when Yurok (1) writes a direct citation to tribal court or (2) petitions for the transfer of the case.

³¹ For more information on this and other collaborations, please see the Native American Indian Court Judges Association Tribal Access to Justice Innovation (TAJI) initiative at: http://www.TribalJustice.org/.

"Although the MOUs themselves did not cost the Tribe or State courts anything, the capacity developed through the Wellness Program is primarily responsible for the expansion of jurisdiction and the resulting caseload of non-violent offenders and juveniles on probation." ³²

Website: www.yuroktribalcourt.org

Pueblo of Pojoaque Path to Wellness Court Referral Collaborations

Inter-Tribal

The Pueblo of Pojoaque of New Mexico's Path to Wellness Court is a robust program, serving an average of twenty participants at a time. The Pueblo of Pojoaque is near several pueblos and members of other tribes frequently cross jurisdictions. As a result, it is not uncommon for a tribal member to have multiple ties, or criminal cases, across multiple jurisdictions. In consultation with the Pueblo of Pojoaque Path to Wellness Court, other tribal pueblos, especially those that do not operate their own Wellness Court, may order eligible defendants to complete Pojoaque's Path to Wellness Court as a condition of the sentencing court's probation or parole. In each case, the partnering tribe retains jurisdiction over the Wellness Court participant, but supervision and Wellness Court participation is monitored by the Pojoaque Wellness Court and its multi-disciplinary team. The Pojoaque's Path to Wellness Court has sole discretion and governance over staffing, funding, and policies and procedures. No formal referral protocol exists, but once accepted, each participant is formally enrolled in the program and subject to its written policies. All participants must agree to submit to jurisdiction of the Pojoaque Wellness Court for purposes of sanctions, including short jail terms. The Wellness Court probation officer provides periodic updates, usually monthly, to participating tribes. In turn, supervision of the participant, including drug and alcohol testing, is generally turned over to the Pueblo of Pojoaque probation officer. The referring jurisdiction determines the frequency of reports and may impose its own requirements on the participants.

Tribal-County

If a Native person with ties to the Pojoaque Valley area has a case before a state court, the state court can make the Path to Wellness Court program a condition of probation for eligible defendants. For these cases, the Pojoaque probation officer maintains a relationship with the various public defender offices and coordinates most of the referrals. The probation officer shares eligibility information for the program and their current capacity. The county public defender uses this information to discuss the possibility of a Wellness Court referral with the client, judge, and prosecution team. Once referred, the Wellness Court issues its own orders, officially enrolling the participant in the program and provides updates to the county court. The state courts must agree that the Pojoaque Path to Wellness court can jail the participant as a

³² Tribal Access to Justice Innovation Website, "Yurok Tribe—Criminal Assistance Program—Memoranda of Understanding with Del Norte and Humboldt Counties", available at: https://www.tribaljustice.org/places/specialized-court-projects/yurok-tribe-criminal-assistance-program-memoranda-of-understanding-with-del-norte-and-humboldt-counties/.

sanction if needed. The referring jurisdiction determines frequency of reports and may impose other requirements on the participant.

Reentry

The Pueblo of Pojoaque Path to Wellness Court program has sober living apartments as a resource. Because sober housing is an essential part of a parole plan, people in reentry have self-referred to the Path to Wellness Court, agreeing to its jurisdiction and program policies to get the assistance and services they need to successfully transition out of incarceration. The Path to Wellness Court has assisted Indians and non-Indian alike, accepting participants into the program as part of their reentry plan. There are capacity restrictions, but the Court has informally received and fulfilled requests from the county at the behest of individuals in reentry.

Website: www.pojoaque.org/community/tribal-courts

National Judicial Opioid Task Force—Sample Court Transfer Agreement

The National Conference of Chief Justices is a membership association of the highest judicial officers of the states aimed at improving the administration of justice, rules and methods of procedure, and the organization and operation of state court and judicial systems. In 2019, the Conference adopted a resolution to encourage greater collaboration between state and tribal courts to address the opioid epidemic.³³ Acknowledging that treatment and program outcomes are often more successful for Native offenders when they are provided services that are culturally appropriate, the Conference encourages more state-tribal collaboration, including the use of transfer agreements from state courts to Tribal Healing to Wellness Courts. The National Judicial Opioid Task Force,³⁴ formed in 2017 by the Conference of Chief Justices and the Conference of State Court Administrators, developed a sample Memorandum of Understanding for Tribal Healing to Wellness Court case transfers to serve as a template to better facilitate these types of inter-jurisdictional cooperation.³⁵

Supplemental Material:

 Sample Memorandum of Understanding for Tribal Healing to Wellness Court Case Transfers

Website: www.ccj.ncsc.org

³³ Conference of Chief Justices and Conference of State Court Administrators, <u>Resolution 1: To Encourage Greater Collaboration</u> between State and Tribal Courts to Address the Opioid Epidemic (February 13, 2019).

³⁴ National Center for State Courts, National Judicial Opioid Task Force: https://www.ncsc.org/opioidtaskforce.

³⁵ Hon. Gregory G. Pinski and Lauren van Schilfgaarde, "<u>Sample Memorandum of Understanding for Tribal Healing to Wellness Court Case Transfers,</u>" National Judicial Opioid Task Force (September 2018).

Reflection on Full Cooperation

Full cooperative jurisdictions work together so that they each operate at maximum efficiency, but their operations are completely independent. The "case transfer" is most emblematic of the full cooperation model. While sovereigns operate independently, they openly acknowledge each other and work together to maximize resources for the participant—in this case—by transferring state supervision authority to the tribe. The National Conference of Chief Justices has acknowledged this beneficial arrangement, particularly in the Wellness Court context. In their 2019 resolution and sample MOU, they endorse the practice of state case transfers to Tribal Healing to Wellness Courts. While their sample MOU promotes one formalized version of case transfer, the Yurok Tribe and the Pueblo of Pojoaque each practice variations on this interjurisdictional "case sharing" concept. It is likely no coincidence that jurisdictions engaging in full cooperation are in fact engaging in multiple forms of cooperation.

The Yurok Tribe encompasses two different counties, and with it two distinct MOUs. The case transfer MOU with Humboldt County provides for significant county discretion, while the case transfer MOU with Del Norte County provides for more direct tribal input. Yet both MOUs provide for continuous tribal-county communication and collaboration. The Pueblo of Pojoaque similarly serves tribal citizens prosecuted by the county. The Pueblo of Pojoaque has expanded the eligibility of their services beyond just tribal members. In a unique variation, the Pueblo of Pojoaque has additionally negotiated case transfers from other neighboring tribes, previewing a collaborative approach detailed in the following text.

Collaboration

Levels of Interaction

WELLNESS COURT COLLABORATION



Each government is operating at high efficiency and actively seeking to help external governments through positive interaction.

Ho-Chunk Nation Drug Testing Collaboration

The Ho-Chunk Nation operates an adult and a family Healing to Wellness Court. Several participants had cases in the neighboring Jackson County Circuit Court that were transferred over to the Wellness Court as a sentencing option, a condition for expungement, or to effect faster family reunification. Each Wellness Court participant is subject to random drug testing.

The Ho-Chunk Nation historically handled all drug testing for their Wellness Court participants, including holidays and weekends. Holidays and weekends, however, became a challenge because the Assistant Clerk for Healing to Wellness Court and Family Wellness Court had to be available twenty-four hours a day, seven days a week. The solution was to enter into an agreement with the Black River Memorial Hospital to conduct drug testing on holidays and weekends. Because of this agreement, Ho-Chunk staff are able to have full holidays and weekend leave while maintaining the best practice of randomized and weekend drug testing for their participants. The Wellness Court provides the testing supplies and training to the hospital staff and hospital lab technicians conduct the drug testing. If a positive result is obtained, the sample is sent to a second laboratory for confirmation and all results are e-mailed to court coordinators on a regular basis.³⁶

Website: www.ho-chunknation.com

Chickasaw Nation Recovery Resource Services

Pontotoc County is home to the first rural state drug court in Oklahoma, serving approximately 130 participants, a third of which are Native. On an informal basis, the Chickasaw Nation of Oklahoma provided transportation and case management services for the court. In 2014, the Chickasaw Nation signed a Memorandum of Agreement (MOA) with the county, formalizing

³⁶ Ken Luchterhand, "<u>Ho-Chunk Treatment Court Programs Join Forces with Black River Memorial Hospital</u>," Hocak Worak (May 12, 2017).

and increasing the level of cooperation between both governments. Under the MOA, the Chickasaw Nation's holistic services became fully integrated into the drug court.³⁷ These services include therapeutic, navigational (case management), transportation, peer support, twelve-step sponsorship groups, community involvement, employment, compliance, education, health integration, and cultural enhancement opportunities.³⁸ Eligible participants include Chickasaw citizens and citizens of other Native nations who have a Chickasaw spouse and/or Chickasaw dependents.

Website: www.chickasaw.net/Services/Recovery-Resource-Services.aspx

Saginaw Chippewa Indian Tribe of Michigan Tribal-County MAT Agreement, Co-Trainings, and Informal Joint Staffings

The Saginaw Chippewa Indian Tribe of Michigan developed their Wellness Court in 2013. Since its creation, the staff have worked diligently to educate themselves about substance use–related issues and to develop both inter- and intra-governmental collaborations. First, the tribe has a Medically Assisted Treatment (MAT) program in operation for almost seven years. They have an informal agreement with county law enforcement that allows them to continue administering MAT to incarcerated individuals. ³⁹ Second, the tribe's Wellness Court staff enjoy a positive working relationship with the Saginaw County drug court. On an informal basis, staff are invited to participate on county drug court cases involving tribal members. Finally, the court is active in educating and raising awareness about substance use issues.

Website: www.sagchip.org

Confederated Tribes of Coos, Lower Umpqua, and Siuslaw Indians Coos County and Lane County Joint Team Members and Cotraining

The Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians (CTCLUSI) do not have a land base, but they do provide services in five counties. The CTCLUSI Wellness Court collaborates most often with Coos County. Coos County Community Corrections, which provides post-sentencing supervision, refers cases to the CTCLUSI Wellness Court and serves as a member of the Wellness Court team for that case. This partnership has led to both co-training and additional discussions about expanding the existing collaborations into other areas. CTCLUSI is providing training about Indian law (that include Continuing Legal Education [CLE] credits) to defense attorneys and municipal judges. The juvenile court judge for the county has facilitated expressed an interest in sharing cases. CTCLUSI has visited the Lane County juvenile

³⁷ Gene Lehmann, "<u>Unique Chickasaw Nation partnership improving lives, community,</u>" Chickasaw Times (June 2018).

³⁸ Amber Hoover, Regena Frye, and C. J. Aducci, "<u>Unconquered and Unconquerable: A Chickasaw Nation Approach to Wellness and Recovery for Native American Treatment Court Participants,</u>" National Association of Drug Court Professionals Conference, PowerPoint Slide 11 (July 2019).

³⁹ See e.g., Isabella County Sheriff Office Memo: "Medical Assisted Treatment of Inmates (M.A.T.)," September 13, 2017.

and adult treatment courts and discussed the coordination of cultural services for tribal member participants.

Website: www.ctclusi.org/tribalcourtpeacegiving

Saint Regis Mohawk Healing to Wellness Court Inter-Sovereign Team Members and Information Sharing

Inpatient Provider as Team Member

The Saint Regis Mohawk Healing to Wellness Court has been operational since 2010 and has developed both a criminal Wellness Court and a family Wellness Court. To better serve participants, the Wellness Court developed a collaboration with Partridge House—an inpatient addiction provider for American Indians. Partridge House is supervised by the tribe's alcohol and chemical dependency program and is an active member of the Wellness Court team. Frequently tribal members will go to Partridge House as a condition of their plea agreement with the county. The Wellness Court limits entry until participants have finished their inpatient treatment. The partnership allows enrollment into the Wellness Court to be a seamless process—participants graduate and immediately are accepted into the Wellness Court. The Wellness Court similarly structures seamless entry for self-referrals and for cases part of a family court proceeding.

County Probation Provides Supervision and Attends Wellness Court Hearings

The Saint Regis Mohawk Healing to Wellness Court works with the Franklin County Department of Probation as an essential partner for participants who are mandated to community supervision. Franklin County Probation provides supervision services to the St. Regis Mohawk court. Participants remain within the jurisdiction of the county courts while they enter the Healing to Wellness Court. Franklin County Probation is technically not a part of the Wellness Court team—workers do not attend staffings. But they do attend hearings to share and receive information to ensure all providers are on the same page. As Chief Judge Carrie Garrow has pointed out, it is a problem-solving relationship. In having probation at hearings, they ensure that they are unified in what they tell the participant and also that the participant is consistent with what the participant tells the court, probation, and service providers.

International Information Sharing

The Saint Regis Mohawk Healing to Wellness Court has collaborated with the Akwesasne Justice Program and the Akwesasne Mohawk Police to ensure systematic information sharing with the Canadian justice system. The northern portion of the Saint Regis Mohawk territory is contiguous with Canada, overlapping the international boundary between the United States and Canada. Given this geography, people can have warrants or cases on both sides of the border. The Mohawk Council of Akwesasne governs the northern portion of the territory and

through the partnership with the St. Regis Mohawk Wellness Court, information on these cases is shared. This not only increases accountability; it helps participants manage both cases. Both the courts and the participants are more aware of services that are available through each partner which gives the participants more opportunities and more choices. Finally, the partners have worked out a process to facilitate home visits across jurisdictions.

Supplemental Materials:

 Assessment of The Criminal Justice System on the St. Regis Mohawk Indian Reservation

Website: www.srmt-nsn.gov

Reflection on Collaboration

Collaborative jurisdictions operate at high efficiency and actively seek to help external governments through positive interaction. Like the full cooperation models detailed in the preceding text, the Ho-Chunk Nation has a case transfer agreement with their neighboring Jackson County. Yet their agreement with Black River Memorial Hospital showcases the benefits of approaching collaborations creatively. Collaborations need not always be judge-to-judge or court-to-court. They also need not always entail a comprehensive MOU outlining the responsibilities of multiple agencies (though many do!). By sharing resources, in this case drugtesting responsibilities, the jurisdictions maximize participant outcomes.

Conversely, the Chickasaw Nation offered its resources to the county. The Chickasaw Nation did not originally intend to have a formal MOA with Pontotoc County. But the occasional supply of transportation and case management services organically transitioned into a full integration of services. Chickasaw citizens and families have access to Chickasaw services, and the county can more efficiently disperse county resources to other participants. Similarly, while tribal members are incarcerated by the state, the Saginaw Chippewa Tribe cooperates with the county to integrate medication-assisted treatment to its citizens.

A different, but innovative expression of the collaboration model are inter-jurisdictional team members. The Saginaw Chippewa Tribe, the Confederated Tribes of Coos, Lower Umpqua, and Siuslaw Indians, and the Saint Regis Mohawk each had a need for more information sharing. As needed, county personnel will sit in on either hearings and/or staffings, ranging from probation officers to inpatient treatment providers. The full integration of these new team members, and the accompanying role and authority they bring to the team, varies. The jurisdictions continue to operate separately. Yet, the welcoming of a new inter-jurisdictional team members not just overcomes the enormous information gaps inherent between separate sovereigns but it is also a nod to the restorative approach upon which Wellness Courts are built. The participants' needs, as opposed to the system's needs, drive the team and their actions.

Levels of Interaction

WELLNESS COURT COLLABORATION



At this level each government is working collaboratively with other governments to co-create systems and tools that can be used to maximize the results for each—a joint effort.

Under the right circumstances, co-creation can be a brilliant solution to a regional issue. A truly equal partnership between sovereigns can conserve resources, streamline operations, encourage comprehensive approaches to cross-jurisdictional problems, and provide tribal members easier access to state and county services. The following collaboration profiles are designed to highlight the possibilities for co-creation in the Wellness Court context. While joint jurisdiction courts are prominently featured, they are not the only co-creation project possible. In addition, while joint jurisdiction courts have shown incredible promise and have worked for some communities, the context that allows them to work is crucial. Joint jurisdiction will not be a good fit for every community. Conversely, not all joint jurisdiction courts must operate within a Wellness Court model. The joint jurisdiction framework might also be applied to non-drug related cases. Co-creation can potentially increase communication and implementation barriers because it can double the number of partners and team members if each government is represented at every level of the project.

Leech Lake Joint Jurisdiction Adult Wellness Courts

The Leech Lake Band of Ojibwe (Leech Lake) tribal court has been in operation for decades, hearing child welfare cases since the 1980s and slowly expanding court operations to cover other civil matters. Minnesota is a Public Law 280 state⁴⁰ and Leech Lake does not exercise criminal jurisdiction, so tribal members are criminally charged and prosecuted by the state. The Leech Lake reservation overlaps with four Minnesota counties: Beltrami, Cass, Hubbard, and Itasca. Under the leadership of Judge Korey Wahwassuck and Judge John P. Smith, the Leech Lake Band, Cass County, and Itasca County developed a novel joint jurisdiction approach, circumventing centuries of sovereign clashes.

⁴⁰ Public Law 83-280 (commonly referred to as Public Law 280 or P.L. 280) was a transfer of legal jurisdiction from the federal government to state governments that significantly changed the division of legal authority among tribal, federal, and state governments. Public Law 280 generally brought about an increased role for state in criminal and civil matters and prompted numerous obstacles to individual tribes in the development of their justice systems. For additional information on Public Law 280, please see the Tribal Law and Policy Institute's Public Law 280 publication series at https://www.home.TLPl.org/public-law-280-publications-.

Joint Jurisdiction Court with Cass County

Cass County and Leech Lake have a cooperative law enforcement agreement. In March 2006, Cass County asked Leech Lake to join in the development of a Wellness Court to address high rates of driving while intoxicated (DWI) cases. The Court has a two-judge model—the tribal court judge and the state court judge sit together to hear cases. A joint powers agreement, the paperwork that articulates the vision, mission, and the authority under which each court operates, was signed about a year later. As the Court teams worked together, they developed procedures and other paperwork as needed.

Joint Jurisdiction Court with Itasca County

In 2008, primarily due to the success of the first joint jurisdiction court with Cass County, Leech Lake implemented a second joint jurisdiction court with Itasca County. As with Cass County, the agreement to work together is memorialized through a joint powers agreement. The Court uses a family-centered model, in which all cases involving a family—from juvenile delinquency and diversion issues to child in need of protection or services (CHIPS) cases, can be heard by the two judges and the family can be wrapped in services to meet their needs. When a family decides not to participate in the two-judge court model, Itasca County judges will often travel to the tribal court and hear cases in the tribes' courtroom.

Both joint jurisdiction courts have been incredibly successful for participants and have served as a model for possibilities in the tribal-state collaboration realm. The Leech Lake success has increased the prominence of, and respect for, tribal courts within the state, and the tribe and neighboring counties collaborate as the need arises. A treatment program used by the Wellness Courts is licensed by both the tribe and the county.

Supplemental Materials:

- Hon. Korey Wahwassuck, Hon. John P. Smith, and Hon. John R. Hawkinson, Building a
 Legacy of Hope: Perspectives on Joint Tribal-State Jurisdiction, 36:2 William Mitchell L.
 Rev. 859 (2010)
- Jennifer Fahey, Hon. Korey Wahwassuck, Allison Leof, and Hon. John Smith, Joint
 Jurisdiction Courts: A Manual for Developing Tribal, Local, State & Federal Justice
 Collaborations, 2nd ed. (Project T.E.A.M., Center for Evidence-Based Policy, Oregon
 Health & Science University, 2018).

Website: www.llojibwe.org/court/court.html

Shingle Springs Joint Jurisdiction Family Wellness Court

The Shingle Springs Band of Miwok Indians (Shingle Springs) and the El Dorado Superior Court in California have a Joint Jurisdiction Collaborative Court. The court has a two-judge model—the tribal court judge and the state court judge sit together and offer one unified proceeding. The

Court hears a wide range of issues, including substance-related juvenile justice, child welfare, child custody, and protection orders related to domestic violence. The Court is intended to provide system-involved youth and their families with a court-supervised alternative that emphasizes culturally appropriate restorative justice practices. The program's wraparound continuum of care consists of prevention, intervention, and post-adjudication services. Program staff uses a teamwork approach to address needs of program participants using a culture-specific, trauma-informed, strength-based, and evidence-based approach.

Prior to implementation of this system, the state court and the tribal court would hear these cases separately, often making conflicting orders, working at purposes, or failing to address the entirety of the families' issues in a holistic fashion. This Family Wellness Court aims to break down these silos. As soon as a child or youth comes to the attention of tribal or county authorities, the court can wrap the child and family with a multitude of tribal and county services specially designed to meet the needs of each family member. The goal of the court is to break the school to prison cycle of dysfunctional behavior to provide parents and children with achievable goals to improve self-confidence; result in positive life choices; and give children and their families a true connection to tribal history and culture, inspiring them to become leaders in their community. This Joint Jurisdiction Family Wellness Court was the first of its kind in California.

Supplemental Materials:

- Family Wellness Court Participant Manual
- Family Wellness Court Program Manual

Website: www.shinglespringsrancheria.com/tribal-court/

Kenaitze Joint Jurisdiction Henu' Community Wellness Court

The Henu' Community Wellness Court is a collaboration between the Kenaitze Indian Tribe (Kenaitze) and the Kenai Superior Court on the Kenai peninsula in Alaska. This court is a joint-jurisdictional therapeutic court that serves adults and their families, Native or non-Native, who face legal troubles stemming from substance use. Cases can involve criminal issues and/or child dependency issues. In addition, individuals charged with property crimes may also be considered if the offense stems from substance use. Participants work closely with a probation officer and court team, complete frequent random drug screenings, and receive substance use treatment and mental health counseling as needed. Each jurisdiction has a separate project coordinator to manage the program and weekly status hearings are held in the Kenaitze tribal courthouse.

Website: www.kenaitze.org/tribal-government/tribal-court/henu-community-wellness-court/

Yurok Joint Jurisdiction Family Wellness Courts Hoopa Valley Joint Jurisdiction Family Wellness Court

The Yurok Tribe worked with neighboring Humboldt County to implement a joint jurisdiction family wellness court. The partnerships that were developed during the planning phase of this court's creation led to additional partnerships. The Yurok Tribe and neighboring Del Norte County agreed to work together to create an additional joint jurisdiction family wellness court, and the Hoopa Valley Tribe came together with Humboldt County to create a third joint jurisdiction family wellness court.

The joint jurisdiction courts are voluntary. Tribal and county child welfare work together to assess and serve the family before a petition is filed. If a child dependency petition is filed by county child welfare in the state court, the family is screened for eligibility for the joint jurisdiction court and asked if they would like to participate.

All three joint jurisdiction courts depend on grant funding. The courts that were created with Humboldt County have one court coordinator designated to the program, but the majority of the remaining staff are a combination of tribal and county agency professionals with many team positions dually filled by each government. Staffings are held prior to each hearing. Core operational team meetings are held monthly. Steering Committee meetings are convened by the judges and held quarterly.

All three courts are formalized using joint powers agreements between the tribe and county, and an operation manual.

Website: http://yuroktribe.org

Reflection on Co-Creation

Co-creation governments work collaboratively with other governments to co-create systems and tools that can be used to maximize the results for each—a joint effort. It is no coincidence that joint jurisdiction courts are *the* prominent example of co-creation systems. Joint jurisdiction courts leverage the jurisdictional authority and menu of services of each government for the benefit of the community. It is also no coincidence that joint jurisdiction courts are predominantly located within P.L. 280 jurisdictions. Jurisdiction in Indian country is famously complex, and P.L. 280 exacerbates that complexity in many ways, while also lifting the role and participation of the states. Of course, joint jurisdiction need not be limited to P.L. 280 jurisdictions, just as co-creation collaborations need not be limited to joint jurisdiction courts. Critically, co-creation is a joint effort. In each profile, the planning, the staffing, and the leadership was a partnership. These collaborations are time intensive, responsive to community needs, and, at least at this moment, rare. Yet their existence, particularly evidenced by the Yurok and Hoopa, suggest a tendency to spread.

Closing Comments

Wellness Courts capacity to solve problems creatively through collaboration has unsurprisingly expanded inter-jurisdictionally. Yet still, the variety and scope of inter-jurisdictional collaborations showcase the critical consideration that each collaboration must be suitable for the context and partnerships in which they operate. Teams, agencies, courts, governments, and communities must each be ready and open to collaboration. Though, small collaborations are often the fuel for more expansive collaborations down the road. In the right context, in which a solid relationship exists, an informal collaboration based on a verbal agreement and goodwill can provide terrific results for a community. In other contexts, formalized agreement provide stability. The kind of collaboration that best meets the needs of your Wellness Court will be specific to your situation. We hope these profiles spark an idea. Whether you are revisiting a current collaboration to improve it or thinking about how to initiate an entirely new collaboration, have a discussion about will work best for your community. There may not yet be a model for the collaboration you are envisioning. We are eager for you to make one.

Appendix A: Collaboration Resources

- William Thorne and Suzanne Garcia, Crossing the Bridge: Tribal-State-Local Collaboration (Tribal Law and Policy Institute, February 2019).
- Jennifer Fahey, Hon. Korey Wahwassuck, Allison Leof, and Hon. John Smith, Joint
 Jurisdiction Courts: A Manual for Developing Tribal, Local, State & Federal Justice
 Collaborations, 2nd ed. (Project T.E.A.M., Center for Evidence-Based Policy, Oregon
 Health & Science University, 2018).
- Hon. Korey Wahwassuck, Hon. John P. Smith, and Hon. John R. Hawkinson, Building a
 Legacy of Hope: Perspectives on Joint Tribal-State Jurisdiction, 36:2 WILLIAM MITCHELL L.
 REV. 859 (2010)
- Hon. Korey Wahwassuck, The New Face of Justice: Joint Tribal-State Jurisdiction, 47 WASHBURN L. J. 733 (2008).
- Jennifer Walter and Heather Valdez Freedman, Emerging Strategies in Tribal-State
 Collaboration: Barriers and Solutions to Enforcing Tribal Protection Orders: December
 6, 2017 Meeting Report (Tribal Law and Policy Institute, February 2019).
- Heather Valdez Singleton, Kori Cordero, and Carrie Garrow, Tribal State Court Forums:
 An Annotated Directory (Tribal Law and Policy Institute, January 2016).
- Carole Goldberg and Duane Champagne, Promising Strategies: Tribal-State Court Relations (Tribal Law and Policy Institute, March 2013).
- Carole Goldberg and Duane Champagne, Public Law 280 (Tribal Law and Policy Institute, March 2013).
- Walking on Common Ground Resources for Promoting and Facilitating Tribal-State-Federal Collaboration: http://walkingoncommonground.org/.

Appendix B: Tribal-State-Local Collaboration Dos and Don'ts

Membership

- ✓ DO select team members from diverse perspectives who have demonstrated interest, expertise, or experience in addressing Indian law issues.
- DON'T select members based only on their position within a particular department or elsewhere.

Mutual Respect

- ✓ DO acknowledge differences between tribal and state systems and seek ways of cooperating consistent with those differences.
- DON'T characterize either system as better or worse or less sophisticated than the other.

Scope

- ✓ DO proceed in phases with predetermined time frames, including a study phase in which issues are identified, before implementing recommendations.
- DON'T devote resources to implementation until a consensus is reached concerning priority issues and recommendations.

Persistence

- ✓ DO design a process that invites broad-based participation in identifying issues and making recommendations.
- DON'T be discouraged by lack of participation or lack of progress.

Performance

- ✓ DO assign manageable tasks to team members or subcommittees to be accomplished within established time frames.
- DON'T delay too long before dividing the work of the team into tasks that can be accomplished within the time frames established.

Solutions

- ✓ DO emphasize creative solutions to issues that are consistent with the rights of the parties, sovereignty, and judicial independence.
- ➤ DON'T emphasize jurisdictional limitations.

Communications

- ✓ DO emphasize person-to-person communication and education to address issues.
- DON'T seek to address issues solely through large-scale change in the law or legal systems.

William Thorne and Suzanne Garcia, *Crossing the Bridge: Tribal-State-Local Collaboration*, 28 (Tribal Law and Policy Institute, February 2019).